

ADULT PROTECTIVE SERVICES Annual Report

January 2010



*Division of Licensing and Protection
Department of Disabilities, Aging, and Independent Living*

ADULT PROTECTIVE SERVICES

Introduction

Adult Protective Services (APS) is a cornerstone of Vermont's system for protecting vulnerable adults from abuse, neglect and/or exploitation. APS is a unit in the Division of Licensing and Protection (DLP) within the Department of Disabilities, Aging and Independent Living (DAIL). The investigative and protection activities of APS are governed by Chapter 69 of Title 33 of the Vermont Statutes Annotated and include a variety of services to investigate reports of abuse, neglect or exploitation, address identified problems or prevent further abuse from occurring.

The goals of APS are to promptly and thoroughly investigate allegations of abuse, neglect and exploitation, to increase awareness of adult abuse in all of its forms, to provide information about alternatives and services for vulnerable adults who are the victim of abuse and to increase the reporting of suspected abuse. In conducting investigations and arranging protective services, APS makes every effort to respect the wishes of the vulnerable adult. This report to the Legislature includes recent data about APS investigations of abuse, neglect and exploitation along with associated budget information.

General Information

During State Fiscal Year 2009 (SFY09), the APS Unit operated on a general fund allocation of \$782,501. The APS Unit has a dedicated staff of 10 employees; one Program Chief, seven Field Investigators, one full-time office based Investigative Screener and one full time Intake Technician, who is the first person to receive a complaint via the 24 hour toll-free abuse reporting telephone line, fax, or by an online reporting form sent electronically. The Intake Technician collects pertinent information on the complaint, enters it in the appropriate database, and channels the information to either the Licensed Nurse Surveyor Screener who handles facility complaints or to the APS Investigative Screener who handles complaints related to the general public.

Reporting

A report can be made to APS in a variety of ways. One of the easiest ways to report suspected abuse is by calling the Division of Licensing and Protection's reporting and complaint toll-free number, 1-800-564-1612. The toll free number is

available to any individual to report abuse¹ or suspected abuse of vulnerable adults. The toll free line is answered twenty-four hours a day, seven days a week. APS staff answer the phone during normal business hours, between 7:45 a.m. and 4:30 p.m. After hours, weekends and holidays, the caller is directed to call the Emergency Services Program (ESP), which is a 24-hour abuse-reporting unit within the Agency of Human Services' Department for Children and Families (DCF). If determined to be necessary, ESP will provide referral information to the appropriate emergency services and then forwards a written report to APS on the next business day. Written reports, including those sent by fax, may be submitted in lieu of, or in addition to, telephone reports. Reports also may be submitted by TTY or by using the Department's web page, located at www.dail.state.vt.us/lp/aps.htm

When the Investigative Screener, in conjunction with the APS Chief, makes the decision to open a case for investigation, the investigation commences within 48 hours of receiving the report. The Investigative Screener prioritizes all calls, identifies risks and responds accordingly by notifying law enforcement and/or other emergency response services if appropriate, and by assigning an APS Investigator to examine the allegations. Typically the first contact is made to the reporter by telephone.

If a reported allegation or incident does not meet the definition of abuse or vulnerable adult as set forth in Title 33 V.S.A. § 6902, the intake information is referred to the service agency appropriate to the individual's age and/or disability for review and intervention. A letter is sent to both the reporter and the alleged victim informing them of the decision to open, or not to open, an investigation and the reason why. The letter also tells the reporter and the alleged victim that if they are in disagreement with the APS decision they may request a review of the decision by the Commissioner of the DAIL.

Investigation Process

Reports of abuse, neglect and exploitation are received by an Intake Specialist and then forwarded to an Investigative Screener. Allegations of abuse, neglect and exploitation must meet the criteria for investigation. In order for an APS investigation to commence, the alleged victim must be a vulnerable adult as defined in Title 33 V. S. A. §6902 (14), and the alleged incident must meet the definition of abuse, neglect or exploitation set forth in Title 33 V. S. A. § 6902 (1), (6) or (7). See Appendix A.

¹ The use of the term "abuse" in this report refers to abuse, neglect or exploitation.

Investigations are assigned to one of seven APS field investigators based on the following considerations; the geographical location of the alleged victim, the availability of staff, the current investigative caseload assignment and/or the special needs of the case. Due to the increasing numbers of cases and severity of the allegations, overlap of territories has become necessary. The APS investigators gather information about a reported incident by interviewing witnesses, including the reporter if applicable, reviewing relevant documents and collecting other pertinent information. At the same time, the investigators coordinate services necessary to develop a protection plan for the vulnerable adult and referrals are made to the appropriate agencies to address specific needs of the individual. At the conclusion of the investigation, the APS investigators submit an investigative report describing the investigation and the evidence gathered. If the division decides to recommend substantiation the individual is informed by registered letter of the basis for the substantiation and information on how to appeal the decision. The first opportunity to appeal is to the Commissioner of DAIL. If the Commissioner decides to uphold the substantiation, the individual is informed in writing of the result and is told how to appeal to the Human Service Board. If the Human Service Board upholds the substantiation, the individual's name is then placed on the APS Abuse Registry. To have a name expunged from the APS Abuse Registry an individual is required to send the Commissioner of DAIL a letter requesting an expungement hearing.

Protective Services Process

During the course of an investigation the investigator is also responsible for determining whether the victim is in need of protective services. One of the most important goals of the APS investigation is the protection of vulnerable adults who are at risk of abuse, neglect or exploitation. APS does not provide direct care or services to individuals, nor does it provide on-going case management. To accomplish the goal of protection for the vulnerable person APS relies on other service providers and agencies, including the Area Agencies on Aging (AAA), the Home Health Agencies, the Department of Mental Health, local mental health and developmental services agencies, the Vermont Center for Independent Living, Disability Rights Vermont (formerly known as Vermont Protection and Advocacy), local and state police, the department's Office of Public Guardian and the various court systems. Working collaboratively with staff from these agencies, with the consent of the victim, APS is able to develop plans for protection to prevent further abuse of the victim. See Tables for referral information. Much of this work is reflected in the technical assistance data records.

Adult Protective Services 2009 Data Report

During the SFY 2009 the APS unit received 2,452 unduplicated reports that were received from 2,957 reporters. Embedded in those reports were 3,518 separate allegations of abuse, neglect or exploitation, with 1,456 reports closed during the screening process. 697 of those reports did not meet the statutory definition of abuse, neglect, or exploitation and 230 reports were closed because the information received was too insufficient to proceed. In 183 cases, APS determined that the individual was not considered vulnerable under Title 33 definitions and identified an additional reason for the decision. APS data identified 132 cases that were determined to be closed contacts solely because they did not meet the Title 33 definition of a vulnerable adult. 394 cases were closed and referred to another resource such as Vermont Legal Aid. Investigators were assigned to, and conducted, 996 investigations, which included 108 self neglect cases of vulnerable individuals less than 60 years of age. APS referred 175 cases of self neglect over 60 years old to the various AAAs throughout Vermont.

APS recommended substantiations of 165 allegations against 82 perpetrators. As a result, 80 new names were added to the APS abuse registry as of the date of this report's data collection. Presently there are 124 APS cases that are unresolved. (See attached tables).

Licensed Facilities and Title 33

The Division of Licensing and Protection also receives reports about Title 33 issues that involve licensed facilities including hospitals, nursing homes and residential care homes. There were 761 reports which included 976 reported allegations of deficient practice in the areas of abuse, neglect or exploitation of vulnerable adults in facilities. Of the 976 reported allegations, APS staff substantiated 69 allegations of deficient practice in the area of abuse, neglect or exploitation. Twenty seven (27) cases were unresolved at the time of the data gathering for this report. Allegations against 37 individuals were substantiated under Title 33 as the result of these facility based allegations.

Referrals

When APS receives a report that involves another agency, a referral is sent to the appropriate agency by a telephone call or by a fax. Such agencies might include the Medicaid Fraud and Residential Abuse Unit (MFRAU) of the Attorney Generals Office, the Office of Public Guardian (OPG), law enforcement agencies, Disability Rights Vermont, Area Agency on Aging (AAA), Human Services, Health Care and Case Management Agencies, the Division of Licensing and the Office of Professional Regulations (OPR).

Over the past year, APS has referred 174 reports to MFRAU and has notified OPR about abuse, neglect or exploitation complaints involving licensed care providers. This year APS referred four cases to the OPR. APS also refers some cases to law enforcement agencies for investigation and possible prosecution. This year APS referred 101 cases to law enforcement agencies. APS referred 220 cases to the DLP Survey and Certification Unit and 190 cases to AAAs (of which 175 were self neglect cases of vulnerable adults age 60 and over.) (See attached tables).

Referrals were made to other services providers including three to the Division of Vocational Rehabilitation, five to the battered women's network, 131 to home health, 113 to mental health agencies, 190 Area Agencies on Aging, seven to Vermont Center for Independent Living, 70 to the Choices for Care program, 20 for legal assistance, 26 to assistance for guardianship, five for restraining orders and 233 for technical assistance for prevention.

Registry Checks

The Adult Abuse Registry is maintained by APS. All employers of persons providing direct care to a vulnerable adult may obtain information about whether an individual's name is on the Adult Abuse Registry by making a written request, with the consent of the applicant or employee. The Adult Abuse Registry can be checked for either prospective or current employees and for either volunteer or paid employees. Licensed and certified health care providers are prohibited by regulation from hiring direct care workers whose names appear on the Registry. Currently there are 1,023 names on the registry, the first dating back to 1993.

In complying with the recently enacted Act 1, APS, in conjunction with the Department for Children and Families (DCF), developed an online screening system, know as the ABC system, to streamline background registry checks against the Vermont Adult Abuse and Child Protection Registries. As of 11/17/09 the new online screening system has done 3,273 registry checks which continues to increase in number on a daily basis. APS has also begun to enroll services providers entitled to this information under Title 33, such as nursing homes and residential homes, to the online screening system.

Community Education

The APS staff is involved with ongoing activities to increase public awareness of abuse, including what to watch for and what to report. In addition, these education programs are geared toward increasing awareness of problems that vulnerable adults face and providing training in abuse prevention efforts. APS continues to use a Power Point presentation, developed in 2007, as a teaching tool. The

PowerPoint presentation was presented to 27 educational programs for over 627 people this fiscal year. These audiences included individuals from citizen groups, colleges, nursing homes, hospitals, mental health agencies, Home Health Agencies, Area Agencies on Aging, residential care homes, Adult Day programs, transportation companies responsible for transporting vulnerable adults and law enforcement agencies including presenting at the Vermont State Police Academy.

Along with the PowerPoint presentation, in May of this year, APS developed a layperson's handbook entitled ***Raising Awareness - A Guide to Recognizing and Reporting Abuse, Neglect and Exploitation of Vulnerable Adults***. The handbook has received great reviews from several different agencies, service providers and laypersons. An electronic version of the handbook is located on DAIL's home page www.dail.vermont.gov

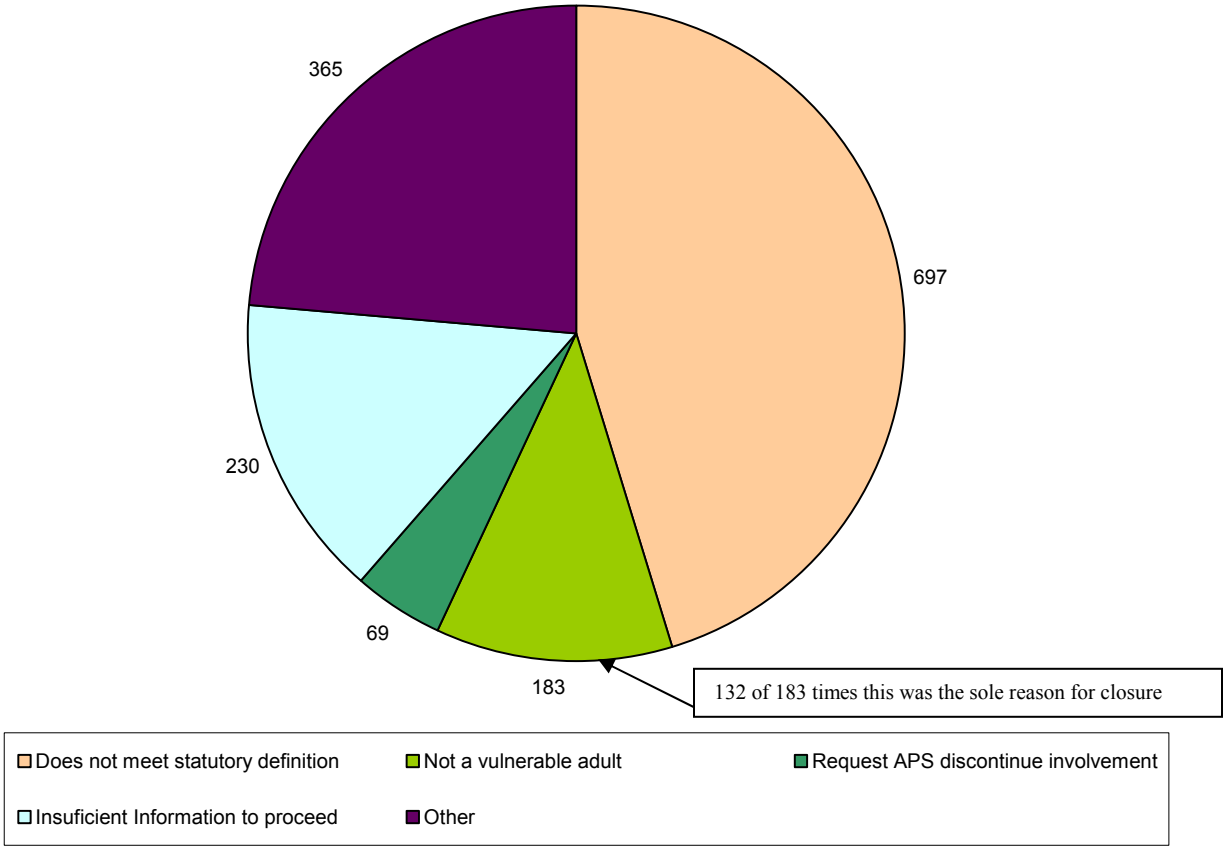
Abuse Prevention Initiatives

APS investigators have combined forces with MFRAU investigators to provide education opportunities to licensed nurses, nurse's aids, caregivers, adult day centers, residential care homes, transportation companies, home care providers, medical schools, and law enforcement agencies and to the general public on reporting, detection and prevention measures related to abuse, neglect and exploitation. Chapter 69 Title 33 of the Vermont State Statute is clearly identified throughout the content threaded with real examples cases and situations that have been investigated and applicable to the individual audience.

APS continues to attribute a portion of the rise in reported financial exploitation cases to the education programs and video production distribution efforts to identify, report, and prevent such cases provided to local banks. APS and MFRAU have worked together to investigate, and to bring action against, perpetrators of these offenses.

# of Reporters	2957
# of Reports	2452
# of Closed Screenings	1456
# of Assigned Investigations	996
# of Substantiated Perpetrators	82
# of Investigations Unresolved	124
# of Self Neglect Reports	283 (175 AAA, 108 APS)
# of Self Neglect Investigations Unresolved	3

Closed Screenings (1,456)



* Some Closed Screenings had multiple reasons for closure

APS Report Allegations and Referrals SFY09

Allegations by Type of Abuse			
Type of Abuse	Number of Allegations contained in 2452 Reports	# Allegations contained in 996 Investigations	# of Allegations contained in 82 Substantiated Cases
Physical Abuse	651	338	43
Emotional Abuse	723	318	37
Sexual Abuse/Sexual Exploitation	158	76	4
Neglect	805	381	38
Financial Exploitation	856	518	43
Self Neglect	325	97	N/A
Totals	3518	1728	165

* Cases may have multiple allegations – 996 investigations includes 97 self neglect cases

Reports referred to Other Agencies (excluding 124 open Investigations)					
Total # CASES referred for investigation by Law Enforcement or Regulatory Review 394		# of CASES with Social or Protective Service Referrals 584		Total # CASES referred for investigation by Law Enforcement or Regulatory Review	
Attorney General/MFU	174	DAIL/Vocational Rehabilitation	3	Type of Assistance	
Police (State and Local)	101	Battered Women's Network	5	Referred for Legal Assistance	20
Vermont Protection & Advocacy	3	Home Health	131	Assist with Guardianship	26
Office of Professional Regulations	4	Mental Health	113	Assist with Restraining Orders	5
DLP Survey and Certification	220	Area Agency on Aging	190	Technical Assistance(Prevention)	233
		Vermont Center for Independent Living	7		
		Choices for Care	70		
# of Investigative REFERRALS made	502	# of Social Service REFERRALS made	519	# of Protective Service REFERRALS made	284

*Cases may have multiple referrals

Alleged Victim's Relationship to Reporter			
Types of Reporters	Reporters	Reporters in Investigations	Reporters in Substantiations
Non Family Community Members	493	267	27
Home Health Staff	259	116	5
AAA Staff	141	86	5
Ombudsman	4	1	0
MH Staff	215	93	6
Family/Relative	309	132	6
Nursing Home Staff	326	120	15
Hospital Staff	264	97	1
DD Staff	78	70	9
Victim Self Report	210	22	1
Friend/Neighbor	309	132	6
Other Medical Staff	37	20	0
Residential Care Home Staff	77	28	11
Police	42	23	2
Anonymous	143	57	1
Social Worker	0	0	0
Other	3	0	0
School Personnel	16	8	1
Bank/Financial Institution	10	3	3
Landlord	16	7	2
Women's Shelter	0	0	0
Parole, Probation	5	2	0
Total	2957	1284	101
Alleged Perpetrator's Relationship to Alleged Victim			
Type of Relationship	Type of Alleged Perpetrator for Reports	Alleged Perpetrator in Investigations	Alleged Perpetrator in Substantiations
Family Members	835	506	26
Neighbor/Friend	252	125	6
Non-Family Caregiver	95	73	13
Developmental Home Provider	52	41	5
Nursing Home Staff	342	108	13
Mental Health/DD Employee	37	18	2
Other	295	62	3
Licensed Residential Home Staff	98	27	10
Payee/POA	4	0	0
Medicaid Waiver/ASP Caregiver	1	1	0
Business	0	0	0
Unknown	203	40	0
Landlord	25	8	0
Legal Guardian	21	19	2
HHA Staff	32	9	0
Hospital Staff	99	13	2
Fellow Resident\Patient	40	0	0
Self Neglect	325	97	0
AAA Staff	0	0	0
Total	2756	1147	82

APS REPORTS
 Alleged Victim's Relationship to Reporter, Alleged Perpetrator, Age,
 Disability and Living Arrangement
 SFY09

Alleged Victim's Age Group			
	Alleged Victim's Age in Reports	Alleged Victim's Age in Investigations	Alleged Victim's Age in Substantiations
less than 18	0	0	0
18-34	285	136	14
35-54	388	171	13
55-64	300	124	6
65-74	257	117	8
75-84	453	215	17
85-94	360	164	19
95+	61	31	2
Missing Age Information	441	86	3
Total	2545	1044	82
Alleged Victim's Disability			
Type of Disability	Alleged Victim's Disability in Reports	Alleged Victims Disability in Investigations	Alleged Victims Disability in Substantiations
MR/DD	204	119	17
MI	381	138	4
MR/DD/MI	73	61	5
Physical	823	330	27
Other Disease	346	147	10
Dementia	352	184	17
Unknown	269	19	0
None	68	30	0
Missing Disability Information	29	16	2
Total	2545	1044	82
Alleged Victim's Living Arrangement			
Type of Living Arrangement	Alleged Victim's Living Arrangement in Reports	Alleged Victim's Living Arrangement in Investigations	Alleged Victim's Living Arrangement in Substantiations
Own Home	1256	539	24
Boarding Home	21	21	1
Residential Care Home	179	61	19
Nursing Home	472	173	17
Hospital	101	11	1
Relative's Home	202	107	9
Homeless	44	21	0
TCR	4	1	0
Psychiatric Facility	5	1	0
Developmental Home	100	75	11
Other	146	26	0
Missing Living Arrangement Information	15	8	0
Total	2545	1044	82
* Cases may have multiple reporters, multiple perpetrators and multiple victims			

Alleged Victim's Relationship to Reporter							
	Physical Abuse	Emotional Abuse	Sexual Abuse	Neglect	Financial Exploitation	Self Neglect	
Types of Reporters							
Non Family Community Members	107	129	27	145	168	66	642
Home Health Staff	42	48	4	59	98	54	305
AAA Staff	16	56	0	41	89	8	210
Ombudsman	1	2	0	1	0	0	4
MH Staff	88	47	29	21	61	18	264
Family/Relative	42	91	5	124	144	33	439
Nursing Home Staff	108	72	26	115	45	15	381
Hospital Staff	91	60	23	68	34	44	320
DD Staff	35	12	16	21	18	3	105
Victim Self Report	32	99	3	57	61	1	253
Friend/Neighbor	42	91	5	124	144	33	439
Other Medical Staff	15	10	1	13	6	8	53
Residential Care Home Staff	20	5	8	22	24	6	85
Police	6	11	2	9	19	9	56
Anonymous	27	39	4	74	37	13	194
Social Worker	0	0	0	0	0	0	0
Other	0	0	1	1	0	1	3
School Personnel	7	2	8	7	1	0	25
Bank/Financial Institution	2	1	0	1	7	1	12
Landlord	2	4	0	3	6	5	20
Women's Shelter	0	0	0	0	0	0	0
Parole, Probation	0	0	1	1	2	1	5
Total**	683	779	163	907	964	319	3815
**Multiple reporters for the 3518 allegations in 2452 Reports							
Alleged Perpetrator's Relationship to Alleged Victim							
	Physical Abuse	Emotional Abuse	Sexual Abuse	Neglect	Financial Exploitation	Self Neglect	
Type of Relationship							
Family Members	222	316	31	264	383	0	1216
Neighbor/Friend	46	72	39	24	155	0	336
Non-Family Caregiver	29	16	1	47	48	0	141
Developmental Home Provider	33	14	1	30	4	0	82
Nursing Home Staff	80	101	6	201	28	0	416
Mental Health/DD Employee	7	11	7	16	6	0	47
Other	109	97	38	30	78	0	352
Licensed Residential Home Staff	21	18	0	66	14	0	119
Payee/POA	0	0	1	0	3	0	4
Medicaid Waiver/ASP Caregiver	0	0	0	1	0	0	1
Business	0	0	0	0	0	0	0
Unknown	39	33	17	37	96	0	222
Landlord	0	9	0	11	9	0	29
Legal Guardian	6	2	0	10	15	0	33
HHA Staff	1	3	2	18	9	0	33
Hospital Staff	30	28	4	50	8	0	120
Fellow Resident\Patient	28	3	11	0	0	0	42
Self	0	0	0	0	0	325	325
AAA Staff	0	0	0	0	0	0	0
Total	651	723	158	805	856	325	3518

ALLEGATIONS:
 Alleged Victim's Relationship to Reporter, Alleged Perpetrator,
 Age, Disability and Living Arrangement
 SFY09

Alleged Victim's Age Group							
	Physical Abuse	Emotional Abuse	Sexual Abuse	Neglect	Financial Exploitation	Self Neglect	
less than 18	0	0	0	0	0	0	0
18-34	112	71	71	65	72	16	407
35-54	113	134	33	79	131	58	548
55-64	66	89	13	96	105	51	420
65-74	80	93	3	64	104	38	382
75-84	83	132	10	159	196	76	656
85-94	90	72	12	152	129	42	497
95+	15	14	1	25	23	2	80
Missing Age Information	92	118	15	164	97	39	525
Total	651	723	158	804	857	322	3515
Alleged Victim's Disability							
	Physical Abuse	Emotional Abuse	Sexual Abuse	Neglect	Financial Exploitation	Self Neglect	
Type of Disability							
MR/DD	82	45	42	51	64	8	292
MI	128	116	49	57	120	55	525
MR/DD/MI	31	13	13	24	12	6	99
Physical	156	282	18	267	336	126	1185
Other Disease	49	90	9	133	131	58	470
Dementia	95	69	15	165	109	42	495
Unknown	81	74	9	81	50	18	313
None	20	23	1	10	28	4	86
Missing Disability Information	9	11	2	16	7	5	50
Total	651	723	158	804	857	322	3515
Alleged Victim's Living Arrangement							
	Physical Abuse	Emotional Abuse	Sexual Abuse	Neglect	Financial Exploitation	Self Neglect	
Type of Living Arrangement							
Own Home	264	347	39	291	531	265	1737
Boarding Home	1	5	6	5	15	1	33
Residential Care Home	42	37	7	90	57	7	240
Nursing Home	126	119	30	217	92	7	591
Hospital	55	35	7	23	5	5	130
Relative's Home	56	94	27	73	74	8	332
Homeless	6	12	4	10	14	18	64
TCR	2	1	1	0	0	0	4
Psychiatric Facility	4	2	4	1	0	0	11
Developmental Home	53	27	20	34	14	0	148
Other	38	41	12	57	51	9	208
Missing Living Arrangement Information	4	3	1	3	4	2	17
Total	651	723	158	804	857	322	3515

996 APS Investigations by Type of Abuse							
Alleged Victim's Relationship to Reporter							
	Physical Abuse	Emotional Abuse	Sexual Abuse	Neglect	Financial Exploitation	Self Neglect	
Types of Reporters							
Non Family Community Members	73	72	18	87	108	27	385
Home Health Staff	24	23	4	31	53	15	150
AAA Staff	12	35	0	33	60	3	143
Ombudsman	1	0	0	0	0	0	1
MH Staff	40	17	11	12	35	5	120
Family/Relative	26	44	2	54	87	6	219
Nursing Home Staff	40	33	3	41	37	2	156
Hospital Staff	33	20	11	32	21	15	132
DD Staff	33	11	14	20	13	3	94
Victim Self Report	3	6	1	3	15	0	28
Friend/Neighbor	26	44	2	54	87	6	219
Other Medical Staff	9	9	1	7	6	2	34
Residential Care Home Staff	7	2	3	6	15	0	33
Police	4	8	1	7	12	3	35
Anonymous	17	20	3	31	13	2	86
Social Worker	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0
School Personnel	5	2	3	3	0	0	13
Bank/Financial Institution	2	1	0	1	7	1	12
Landlord	2	0	0	3	5	1	11
Women's Shelter	0	0	0	0	0	0	0
Parole, Probation	0	0	1	0	0	1	2
Total**	357	347	78	425	574	92	1873
**Multiple Reporters for the 1728 Allegations investigated in 996 Investigations							
Alleged Perpetrator's Relationship to Alleged Victim							
	Physical Abuse	Emotional Abuse	Sexual Abuse	Neglect	Financial Exploitation	Self Neglect	
Type of Relationship							
Family Members	155	187	24	192	285	0	843
Neighbor/Friend	26	20	19	20	99	0	184
Non-Family Caregiver	25	13	1	39	37	0	115
Developmental Home Provider	26	13	1	27	4	0	71
Nursing Home Staff	43	34	4	53	10	0	144
Mental Health/DD Employee	4	4	7	5	3	0	23
Other	21	24	12	6	23	0	86
Licensed Residential Home Staff	11	8	0	14	7	0	40
Payee/POA	0	0	0	0	0	0	0
Medicaid Waiver/ASP Caregiver	0	0	0	1	0	0	1
Business	0	0	0	0	0	0	0
Unknown	10	5	6	7	24	0	52
Landlord	0	3	0	3	5	0	11
Legal Guardian	6	2	0	9	14	0	31
HHA Staff	1	0	2	1	6	0	10
Hospital Staff	10	5	0	4	1	0	20
Fellow Resident\Patient	0	0	0	0	0	0	0
Self	0	0	0	0	0	97	97
AAA Staff	0	0	0	0	0	0	0
Total	338	318	76	381	518	97	1728

ALLEGATIONS INVESTIGATED
 Alleged Victim's Relationship to Reporter, Alleged Perpetrator, Age,
 Disability and Living Arrangement
 SFY09

Dementia	57	51	1	97	94	14	314
Unknown	8	5	1	4	8	1	27
None	9	10	1	2	17	3	42
Missing Disability Information	7	9	1	9	9	1	36
Total	338	318	76	384	518	94	1728
Alleged Victim's Living Arrangement							
	Physical Abuse	Emotional Abuse	Sexual Abuse	Neglect	Financial Exploitation	Self Neglect	
Type of Living Arrangement							
Own Home	160	144	19	174	316	70	883
Boarding Home	1	5	6	5	15	1	33
Residential Care Home	18	18	3	31	31	0	101
Nursing Home	52	49	6	68	64	6	245
Hospital	7	5	0	1	1	2	16
Relative's Home	36	56	17	49	53	2	213
Homeless	4	9	3	6	9	8	39
TCR	0	0	1	0	0	0	1
Psychiatric Facility	0	0	2	0	0	0	2
Developmental Home	43	21	15	31	12	0	122
Other	15	9	3	17	15	3	62
Missing Living Arrangement Information	2	2	1	2	2	2	11
Total	338	318	76	384	518	94	1728

Alleged Victim's Relationship to Reporter							
	Physical Abuse	Emotional Abuse	Sexual Abuse	Neglect	Financial Exploitation	Self Neglect	
Types of Reporters							
Non Family Community Members	14	14	1	10	11		50
Home Health Staff	2	3	1	2	2		10
AAA Staff	2	4	0	2	3		11
Ombudsman	0	0	0	0	0		0
MH Staff	1	1	1	2	2		7
Family/Relative	4	1	0	2	2		9
Nursing Home Staff	7	4	0	10	3		24
Hospital Staff	0	0	0	0	1		1
DD Staff	7	3	0	5	2		17
Victim Self Report	0	0	0	0	1		1
Friend/Neighbor	4	1	0	2	2		9
Other Medical Staff	0	0	0	0	0		0
Residential Care Home Staff	3	2	0	2	8		15
Police	0	1	0	0	2		3
Anonymous	0	1	0	1	0		2
Social Worker	0	0	0	0	0		0
Other	0	0	0	0	0		0
School Personnel	0	0	1	0	0		1
Bank/Financial Institution	1	1	0	0	2		4
Landlord	0	0	0	1	1		2
Women's Shelter	0	0	0	0	0		0
Parole, Probation	0	0	0	0	0		0
Total**	45	36	4	39	42		166
**Multiple Reporters for 165 Allegations in the 82 Substantiated Cases							
Alleged Perpetrator's Relationship to Alleged Victim							
	Physical Abuse	Emotional Abuse	Sexual Abuse	Neglect	Financial Exploitation	Self Neglect	
Type of Relationship							
Family Members	15	16	0	16	20		67
Neighbor/Friend	1	0	3	0	3		7
Non-Family Caregiver	4	5	1	3	12		25
Developmental Home Provider	4	4	0	4	0		12
Nursing Home Staff	9	5	0	9	1		24
Mental Health/DD Employee	0	1	0	1	0		2
Other	1	3	0	1	1		6
Licensed Residential Home Staff	5	3	0	2	5		15
Payee/POA	0	0	0	0	0		0
Medicaid Waiver/ASP Caregiver	0	0	0	0	0		0
Business	0	0	0	0	0		0
Unknown	0	0	0	0	0		0
Landlord	0	0	0	0	0		0
Legal Guardian	2	0	0	1	1		4
HHA Staff	0	0	0	0	0		0
Hospital Staff	2	0	0	1	0		3
Fellow Resident\Patient	0	0	0	0	0		0
Self	0	0	0	0	0		0
AAA Staff	0	0	0	0	0		0
Total	43	37	4	38	43		165

ALLEGATIONS INVESTIGATED
 Alleged Victim's Relationship to Reporter, Alleged Perpetrator, Age,
 Disability and Living Arrangement
 SFY09

Alleged Victim's Age Group							
	Physical Abuse	Emotional Abuse	Sexual Abuse	Neglect	Financial Exploitation	Self Neglect	
less than 18	0	0	0	0	0		0
18-34	12	3	3	6	4		28
35-54	8	13	1	7	6		35
55-64	2	2	0	2	5		11
65-74	4	3	0	3	2		12
75-84	7	8	0	7	15		37
85-94	8	3	0	10	9		30
95+	1	2	0	0	1		4
Missing Age Information	3	3	0	3	1		10
Total	45	37	4	38	43		167
Alleged Victim's Disability							
	Physical Abuse	Emotional Abuse	Sexual Abuse	Neglect	Financial Exploitation	Self Neglect	
Type of Disability							
MR/DD	15	9	3	8	8		43
MI	1	1	0	1	2		5
MR/DD/MI	2	2	0	5	1		10
Physical	15	19	0	12	16		62
Other Disease	2	0	1	3	5		11
Dementia	8	4	0	7	10		29
Unknown	0	0	0	0	0		0
None	0	0	0	0	0		0
Missing Disability Information	2	2	0	2	1		7
Total	45	37	4	38	43		167
Alleged Victim's Living Arrangement							
	Physical Abuse	Emotional Abuse	Sexual Abuse	Neglect	Financial Exploitation	Self Neglect	
Type of Living Arrangement							
Own Home	12	10	2	7	17		48
Boarding Home	0	0	0	0	1		1
Residential Care Home	6	9	0	8	15		38
Nursing Home	9	5	0	12	3		29
Hospital	1	0	0	1	0		2
Relative's Home	7	5	2	4	4		22
Homeless	0	0	0	0	0		0
TCR	0	0	0	0	0		0
Psychiatric Facility	0	0	0	0	0		0
Developmental Home	10	8	0	6	3		27
Other	0	0	0	0	0		0
Missing Living Arrangement Information	0	0	0	0	0		0
Total	45	37	4	38	43		167

SFY09 Facility/Agency Reports of Abuse, Neglect and Exploitation					
			Abuse	Neglect	Exploitation
# of Reports (976 allegations for 761 Reports)	761		472	350	154
# of Substantiated Allegations	69		30	21	18
# of Unsubstantiated Allegations	880		437	322	121
# Unresolved Allegations	27		5	7	15
Total	976		472	350	154
# of Perpetrator's substantiated under APS	37				

Facility Agency Allegations by Provider Type				
By Provider Category	Abuse	Neglect	Exploitation	Total
NH	275	224	92	591
Hosp	97	28	5	130
RCH/TCR/AL/NH	90	97	57	244
VSH	10	1	0	11
Total by Provider	472	350	154	976